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PTO/SB/05 (4/98)
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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No. C0240.MSI

First Inventor or Application Identifier Durward I. Faries, Jr.

Title Temperature Control System and Method for Heating and Maintaining Medical Items at Desired Temperatures

Express Mail Label No. EJ240835644US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☐ * Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☒ Specification [Total Pages 33]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to Microfiche Appendix
 - Background of the invention
 - Brief Summary of the invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
3. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 8]
a. ☐ Newly executed (original or copy)
4. Oath or Declaration [Total Pages]
b. ☐ Copy from a prior application (37 C.F.R. § 1.63(d))
(for continuation/divisional with Box 16 completed)
i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

* NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

5. ☐ Microfiche Computer Program (Appendix)
6. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Copy
 - b. ☐ Paper Copy (identical to computer copy)
 - c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

7. ☐ Assignment Papers (cover sheet & document(s))
8. ☐ 37 C.F.R. § 3.73(b) Statement of Power of Attorney (when there is an assignee)
9. ☐ English Translation Document (if applicable)
10. ☐ Information Disclosure Statement (IDS)/PTO-1449 [Copies of IDS Citations]
11. ☐ Preliminary Amendment
12. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
13. ☐ * Small Entity Statement(s) filed in prior application, Status still proper and desired (PTO/SB/09-12)
14. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
15. ☒ Other: Transmittal Letter

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:
- ☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. _____

Prior application information: Examiner _____ Group / Art Unit: _____

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label

(Insert Customer No. or Attach bar code label here)

or ☐ Correspondence address below

Name	Stuart B. Shapiro				
	EPSTEIN, EDELL & RETZER				
Address	1901 Research Blvd., Suite 400				
City	Rockville	State	Maryland	Zip Code	20850
Country	US	Telephone	301-424-3640	Fax	301-762-4056

Name (Print/Type) Stuart B. Shapiro

Registration No. (Attorney/Agent)

40,169

Signature

Stuart B. Shapiro

Date

10/15/1999

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

EPSTEIN, EDELL & RETZER
1901 Research Boulevard, Suite 400
Rockville, Maryland 20850-3164
(301) 424-3640

BOX PATENT APPLICATION
ASSISTANT COMMISSIONER FOR PATENTS
Washington, D. C. 20231

Atty. Docket No.: C0240.MSI

TRANSMITTAL LETTER

Sir:

Transmitted herewith for filing is the patent application of:

Inventor(1): Durward I. Faries, Jr.

Address: 1202 Windrock
McLean, Virginia 22102

Inventor(2): Bruce R. Heymann

Address: 9701 Woodland Glen Court
Vienna, Virginia 22182

Inventor (3): Calvin Blankenship

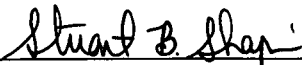
Address: 14914 Ampstead Court
Centreville, Virginia 22020

For: Temperature Control System and Method for Heating and
Maintaining Medical Items at Desired Temperatures

Enclosed is a New U.S. Patent Application including:
Thirty-three (33) Pages of Specification; and
Eight (8) Sheets of Drawings.

All correspondence regarding this application should be directed to EPSTEIN, EDELL &
RETZER at the above address.

Respectfully submitted,



Stuart B. Shapiro
Reg. No. 40,169

Sent via Express Mail: October 15, 1999
Express Mail Label No.: EJ240835644US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the NEW PATENT application of

Durward I. Faries, Jr. et al.

Atty. Docket No.: C0240.MSI

Serial No.: Not Yet Assigned

Filed: Herewith

For: Temperature Control System and Method for Heating and
Maintaining Medical Items at Desired Temperatures

JCS42 U.S. PTO
09/419664
10/15/99

CERTIFICATION UNDER 37 CFR 1.10

EJ240835644US
Express Mail" mailing number

October 15, 1999
Date of Deposit

I hereby certify that the above identified application is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Stuart B. Shapiro
(Typed or Printed Name of
person mailing application)

Stuart B. Shapiro
(Signature of person mailing
Application)